

CANDIDATE'S REPORT

(to be filed by a candidate or his principal campaign committee)

OFFICE USE ONLY

0201022

1. Qualifying Name and Address of Candidate

Frank H. Thaxton, III
8518 West Wilderness Way
Shreveport, LA 71106

2. Office Sought (include title of office as well as parish, city, town and/or election district.)

Judge, Court of Appeal
2nd Cir., 3rd District,
Elec. 2C

Supp
1/31

3. Date of Primary October 21, 1995

This report covers from January 1, 2001 through December 31, 2001

4. Type of Report:

- ☐ 180th day prior to primary ☐ 40th day after general
☐ 90th day prior to primary ☐ Annual (future election)
☐ 30th day prior to primary ☒ Supplemental (past election)
☐ 10th day prior to primary
☐ 10th day prior to general ☐ Amendment to prior report

Missing numbered pages were
Junk and had no information
on them.

5. FINAL REPORT IS:

- ☐ Withdrawn ☐ Filed after the election AND all loans and debts paid
☐ Unopposed

6. Name and Address of Financial Institution (You are required by law to use one or more banks, savings and loan associations, or money market mutual fund as the depository of all campaign funds.)

AmSouth Bank
333 Texas Street
Shreveport, LA 71101

7. Full Name and Address of Treasurer

Robert G. Pugh, Jr.
333 Texas Street
Suite 2100
Shreveport, LA 71101

8. Name of Person Preparing Report

Daytime Telephone

Frank H. Thaxton, III
318-226-6815

10. WE HEREBY CERTIFY that the information contained in this report and the attached schedules is true and correct to the best of our knowledge, information and belief, and that no expenditures have been made nor contributions received that have not been reported herein, and that no information required to be reported by the Louisiana Campaign Finance Disclosure Act has been deliberately omitted.

This 25th day of January, 2002

B. FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY
a. Name and address of principal campaign committee,
committee's chairperson, and subsidiary committees, if
any (use additional sheets if necessary).

Judge Frank Thaxton for Court of
Appeal Campaign Committee
8518 West Wilderness Way
Shreveport, LA 71106

Benny M. Popwell, M.D.,
Chairperson
950 Olive
Shreveport, LA 71104

Signature of Candidate/Chairperson
(To be signed by Chairperson only if report by
principal campaign committee)

318-221-1581
Daytime Telephone

Signature of Treasurer

318-227-2270
Daytime Telephone

SUMMARY PAGE

| RECEIPTS | This Period |
|---|-------------|
| 1. Contributions (Schedule A-1) | 0 |
| 2. In-kind Contributions (Schedule A-2) | 0 |
| 3. Campaign paraphernalia sales of \$25 or less | 0 |
| 4. TOTAL CONTRIBUTIONS (Lines 1 + 2 + 3) | 0 |
| 5. Other Receipts (Schedule A-3) | 0 |
| 6. Loans Received (Schedule B) | 100.00 |
| 7. Loan Repayments Received (Schedule D) | 0 |
| 8. TOTAL RECEIPTS (Lines 4 + 5 + 6 + 7) | 100.00 |

| DISBURSEMENTS | This Period |
|---|-------------|
| 9. Expenditures (Schedule E-1) | 100.00 |
| 10. Other Disbursements (Schedule E-2) | 0 |
| 11. Loan Repayments Made (Schedule B) | 0 |
| 12. Funds Loaned (Schedule D) | 0 |
| 13. TOTAL DISBURSEMENTS (Lines 9 + 10 + 11 + 12) | 100.00 |

| FINANCIAL SUMMARY | Amount |
|---|--------|
| 14. Funds on hand at beginning of reporting period (Must equal funds on hand at close from last report or -0- if first report for this election) | 25.00 |
| 15. Plus total receipts this period (Line 8 above) | 100.00 |
| 16. Less total disbursements this period (Line 13 above) | 100.00 |
| 17. Less in-kind contributions (Line 2 above) | 0 |
| 18. Funds on hand at close of reporting period | 25.00 |

SUMMARY PAGE (continued)

| INVESTMENTS | Amount |
|---|--------|
| 19. Of funds on hand at beginning of reporting period (Line 14, above), amount held in investments (i.e., savings accounts, CD's, money market funds, etc.) | 0 |
| 20. Of funds on hand at close of reporting period (Line 18, above), amount held in investments | 0 |

| SPECIAL TRANSACTIONS | This Period |
|--|-------------|
| 21. Candidate's personal funds (Use of personal funds as either a contribution or loan to the campaign should be reported on Schedules A-1 or B. Personal funds reported as contributions may not later be repaid.) | 0 |
| 22. Contributions received from political committees (From Schedules A-1 and A-2) | 0 |
| 23. All proceeds from the sale of tickets to fundraising events (Receipts from the sale of tickets are contributions and must also be reported on Schedule A-1.) | 0 |
| 24. Proceeds from the sale of campaign paraphernalia (Receipts from the sale of campaign paraphernalia are contributions and must also be reported on Schedule A-1 or Line 3, above.) | 0 |
| 25. Expenditures from petty cash fund (Must also be reported on Schedule E-1.) | 0 |

NOTICE

The personal use of campaign funds is prohibited.* The use of campaign funds must be related to a political campaign or the holding of a public office or party position. A candidate or a candidate's committee which owes outstanding fines or late fees may not expend campaign contributions until all such fines are paid. The Supervisory Committee may prohibit the use of campaign funds to pay fines or penalties for intentional or egregious violations of the Campaign Finance Disclosure Act. Campaign funds may be used to reimburse a candidate for expenses related to his campaign or office, to pay taxes on the interest earned on campaign funds or to replace articles lost, stolen, or damaged in connection with a campaign.

Excess campaign funds may be returned to contributors on a pro rata basis, given as a charitable contribution as provided in 26 USC 170(c), given to a charitable organization as defined in 26 USC 501(c)(3), expended in support of or opposition to a proposition, political party, or candidacy of any person, or maintained in a segregated fund for use in future political campaigns or activity related to preparing for future candidacy to elective office.

*The prohibition on the personal use of campaign funds does not apply to campaign funds received prior to July 15, 1988.

Form 102, Rev. 3/88, Page Rec. 801.

SCHEDULE B: LOANS RECEIVED

The following information must be provided for each loan or line of credit received this reporting period, even if it has been repaid. Also, complete this schedule for loans received in prior periods that are still outstanding. Separate loans must be reported separately, even if from the same source. Any personal funds a candidate loans to his campaign must be reported on this schedule.

| 1. Name and address of lender Frank H. Thaxton, III 8518 West Wilderness Way Shreveport, LA 71106 | 2. a. Date* <u>6-28-96</u> b. Interest rate <u>0</u> % (a.p.r.) c. Amount borrowed* \$ <u>4,818.62</u> d. Balance due \$ <u>.06</u> *For lines of credit, give the date the line of credit was first committed at item 2a and list only the amount actually drawn at item 2c. OPTIONAL: Total amount of credit available \$ _____ | | | | | | | | | |
|--|---|---------------------------|--|--|------|-----------|----------|------|--|--|
| 3. Endorsers/Guarantors | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="3" style="text-align: center;">4. Repayments this period</th> </tr> <tr> <th style="width: 33%; text-align: center;">Date</th> <th style="width: 33%; text-align: center;">Principal</th> <th style="width: 33%; text-align: center;">Interest</th> </tr> <tr> <td style="height: 100px; text-align: center; vertical-align: middle;">None</td> <td></td> <td></td> </tr> </table> | 4. Repayments this period | | | Date | Principal | Interest | None | | |
| 4. Repayments this period | | | | | | | | | | |
| Date | Principal | Interest | | | | | | | | |
| None | | | | | | | | | | |
| <small>(Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.)</small> | <small>(List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.)</small> | | | | | | | | | |
| 1. Name and address of lender Frank H. Thaxton, Jr. 9316 Midvale Shreveport, LA 71118 | 2. a. Date* <u>3-14-97</u> b. Interest rate <u>0</u> % (a.p.r.) c. Amount borrowed* \$ <u>2,500.00</u> d. Balance due \$ <u>2,500.00</u> *For lines of credit, give the date the line of credit was first committed at item 2a and list only the amount actually drawn at item 2c. OPTIONAL: Total amount of credit available \$ _____ | | | | | | | | | |
| 3. Endorsers/Guarantors | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="3" style="text-align: center;">4. Repayments this period</th> </tr> <tr> <th style="width: 33%; text-align: center;">Date</th> <th style="width: 33%; text-align: center;">Principal</th> <th style="width: 33%; text-align: center;">Interest</th> </tr> <tr> <td style="height: 100px; text-align: center; vertical-align: middle;">None</td> <td></td> <td></td> </tr> </table> | 4. Repayments this period | | | Date | Principal | Interest | None | | |
| 4. Repayments this period | | | | | | | | | | |
| Date | Principal | Interest | | | | | | | | |
| None | | | | | | | | | | |
| <small>(Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.)</small> | <small>(List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.)</small> | | | | | | | | | |

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| | | | | | | | |
|--|---|----------------------------|--------------------------------------|--|--|---|--|
| 1. Name and address of lender Johanna D. Thaxton 9316 Midvale Shreveport, LA 71118 | <table style="width: 100%;"> <tr> <td style="width: 50%;">2. a. Date* <u>3-14-97</u></td> <td style="width: 50%;">b. Interest rate <u>0</u> % (a.p.r.)</td> </tr> <tr> <td colspan="2">c. Amount borrowed* \$ <u>2,500.00</u></td> </tr> <tr> <td colspan="2">d. Balance due \$ <u>2,500.00</u></td> </tr> </table> <p>*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____</p> | 2. a. Date* <u>3-14-97</u> | b. Interest rate <u>0</u> % (a.p.r.) | c. Amount borrowed* \$ <u>2,500.00</u> | | d. Balance due \$ <u>2,500.00</u> | |
| 2. a. Date* <u>3-14-97</u> | b. Interest rate <u>0</u> % (a.p.r.) | | | | | | |
| c. Amount borrowed* \$ <u>2,500.00</u> | | | | | | | |
| d. Balance due \$ <u>2,500.00</u> | | | | | | | |

| 3. Endorsers/Guarantors | <table style="width: 100%;"> <tr> <th colspan="3" style="text-align: center;">4. Repayments this period</th> </tr> <tr> <th style="width: 30%; text-align: center;">Date</th> <th style="width: 35%; text-align: center;">Principal</th> <th style="width: 35%; text-align: center;">Interest</th> </tr> <tr> <td style="height: 150px; vertical-align: top; text-align: center;">None</td> <td></td> <td></td> </tr> </table> | 4. Repayments this period | | | Date | Principal | Interest | None | | |
|--|--|---------------------------|--|--|------|-----------|----------|------|--|--|
| 4. Repayments this period | | | | | | | | | | |
| Date | Principal | Interest | | | | | | | | |
| None | | | | | | | | | | |

(Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.)

(List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.)

| | | | | | | | |
|--|---|----------------------------|--------------------------------------|--|--|---|--|
| 1. Name and address of lender Wallace L. Thaxton 359 Sandefur Shreveport, LA 71106 | <table style="width: 100%;"> <tr> <td style="width: 50%;">2. a. Date* <u>3-14-97</u></td> <td style="width: 50%;">b. Interest rate <u>0</u> % (a.p.r.)</td> </tr> <tr> <td colspan="2">c. Amount borrowed* \$ <u>2,500.00</u></td> </tr> <tr> <td colspan="2">d. Balance due \$ <u>2,386.63</u></td> </tr> </table> <p>*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____</p> | 2. a. Date* <u>3-14-97</u> | b. Interest rate <u>0</u> % (a.p.r.) | c. Amount borrowed* \$ <u>2,500.00</u> | | d. Balance due \$ <u>2,386.63</u> | |
| 2. a. Date* <u>3-14-97</u> | b. Interest rate <u>0</u> % (a.p.r.) | | | | | | |
| c. Amount borrowed* \$ <u>2,500.00</u> | | | | | | | |
| d. Balance due \$ <u>2,386.63</u> | | | | | | | |

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|--|--|---------------------------|--|--|------|-----------|----------|------|--|--|
| 4. Repayments this period | | | | | | | | | | |
| Date | Principal | Interest | | | | | | | | |
| None | | | | | | | | | | |

(Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.)

(List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.)

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| | |
|---|---|
| 1. Name and address of lender Mr. & Mrs. Travis A. Miller 6756 North Club Loop Shreveport, LA 71109 | 2 a. Date* <u>10-3-95</u> b. Interest rate <u>0</u> % (a.p.r.) c. Amount borrowed* \$ <u>5,000.00</u> d. Balance due \$ <u>5,000.00</u> *For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____ |
|---|---|

| 3. Endorsers/Guarantors (Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.) | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="3" style="text-align: left; padding: 5px;">4. Repayments this period</th> </tr> <tr> <th style="width: 33%; text-align: center; padding: 5px;">Date</th> <th style="width: 33%; text-align: center; padding: 5px;">Principal</th> <th style="width: 33%; text-align: center; padding: 5px;">Interest</th> </tr> <tr> <td style="height: 150px; vertical-align: top; text-align: center; padding: 5px;">None</td> <td></td> <td></td> </tr> </table> | 4. Repayments this period | | | Date | Principal | Interest | None | | |
|---|--|---------------------------|--|--|------|-----------|----------|------|--|--|
| 4. Repayments this period | | | | | | | | | | |
| Date | Principal | Interest | | | | | | | | |
| None | | | | | | | | | | |

(List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.)

| | |
|---|--|
| 1. Name and address of lender Frank H. Thaxton, III 8518 West Wilderness Shreveport, LA 71106 | 2 a. Date* <u>4-4-2000</u> b. Interest rate <u>0</u> % (a.p.r.) c. Amount borrowed* \$ <u>100.00</u> d. Balance due \$ <u>100.00</u> *For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____ |
|---|--|

| 3. Endorsers/Guarantors (Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.) | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="3" style="text-align: left; padding: 5px;">4. Repayments this period</th> </tr> <tr> <th style="width: 33%; text-align: center; padding: 5px;">Date</th> <th style="width: 33%; text-align: center; padding: 5px;">Principal</th> <th style="width: 33%; text-align: center; padding: 5px;">Interest</th> </tr> <tr> <td style="height: 150px;"></td> <td></td> <td></td> </tr> </table> | 4. Repayments this period | | | Date | Principal | Interest | | | |
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| 4. Repayments this period | | | | | | | | | | |
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| | | | | | | | | | | |

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| 1. Name and address of lender Frank H. Thaxton, III 8515 West Wilderness Way Shreveport, LA 71106 | <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">2. a. Date* 1-29-01</td> <td style="width: 50%; border: none;">b. Interest rate 0 % (a.p.r.)</td> </tr> <tr> <td style="border: none;">c. Amount borrowed*</td> <td style="border: none;">\$ 100.00</td> </tr> <tr> <td style="border: none;">d. Balance due</td> <td style="border: none;">\$ 100.00</td> </tr> </table> <p style="font-size: small; margin-top: 5px;">*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$</p> | 2. a. Date* 1-29-01 | b. Interest rate 0 % (a.p.r.) | c. Amount borrowed* | \$ 100.00 | d. Balance due | \$ 100.00 |
|--|--|-----------------------------------|--|----------------------------------|-----------|-----------------------------|-----------|
| 2. a. Date* 1-29-01 | b. Interest rate 0 % (a.p.r.) | | | | | | |
| c. Amount borrowed* | \$ 100.00 | | | | | | |
| d. Balance due | \$ 100.00 | | | | | | |
| 3. Endorsers/Guarantors | <table style="width: 100%; border: none;"> <tr> <th style="width: 33%; border: none;">4. Repayments this period Date</th> <th style="width: 33%; border: none;">Principal</th> <th style="width: 33%; border: none;">Interest</th> </tr> <tr> <td style="width: 33%; text-align: center; vertical-align: top; border: 1px solid black;">None</td> <td style="width: 33%; border: 1px solid black;"></td> <td style="width: 33%; border: 1px solid black;"></td> </tr> </table> | 4. Repayments this period Date | Principal | Interest | None | | |
| 4. Repayments this period Date | Principal | Interest | | | | | |
| None | | | | | | | |
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| 1. Name and address of lender | <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">2. a. Date*</td> <td style="width: 50%; border: none;">b. Interest rate % (a.p.r.)</td> </tr> <tr> <td style="border: none;">c. Amount borrowed*</td> <td style="border: none;">\$</td> </tr> <tr> <td style="border: none;">d. Balance due</td> <td style="border: none;">\$</td> </tr> </table> <p style="font-size: small; margin-top: 5px;">*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$</p> | 2. a. Date* | b. Interest rate % (a.p.r.) | c. Amount borrowed* | \$ | d. Balance due | \$ |
| 2. a. Date* | b. Interest rate % (a.p.r.) | | | | | | |
| c. Amount borrowed* | \$ | | | | | | |
| d. Balance due | \$ | | | | | | |
| 3. Endorsers/Guarantors | <table style="width: 100%; border: none;"> <tr> <th style="width: 33%; border: none;">4. Repayments this period Date</th> <th style="width: 33%; border: none;">Principal</th> <th style="width: 33%; border: none;">Interest</th> </tr> <tr> <td style="width: 33%; border: 1px solid black;"></td> <td style="width: 33%; border: 1px solid black;"></td> <td style="width: 33%; border: 1px solid black;"></td> </tr> </table> | 4. Repayments this period Date | Principal | Interest | | | |
| 4. Repayments this period Date | Principal | Interest | | | | | |
| | | | | | | | |
| <small>(Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.)</small> | <small>(List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.)</small> | | | | | | |

SCHEDULE E-1: EXPENDITURES

Use this schedule to report information on all campaign expenditures for this reporting period. An "expenditure" is any payment made for the purpose of supporting your election to public office and includes monies spent for the campaign's general operating expenses. Any payments made that are not "expenditures" should be reported on SCHEDULE E-2: OTHER DISBURSEMENTS. Totals and subtotals at bottom of page are optional. Completion of totals and subtotals may assist in calculating totals that must be reported on the Summary Page.

| 1. Name and Address of Recipient | 2. Expenditures this Reporting Period | | |
|--|---------------------------------------|---------------|--------------|
| | a. Date(s) | b. Purpose(s) | c. Amount(s) |
| Campaign Finance 8401 United Plaza Blvd., Suite 200 Baton Rouge, LA 70809-7017 | 1-29-01 | Filing Fee | 100.00 |
| | | | |
| | | | |
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| | | | |
| | | | |
| | | | |
| 3. SUBTOTAL (optional) | | | |
| 4. TOTAL (optional) - complete only on last page of this schedule | | | |